

Nominee for licenced/registered entity application

MULTI021224

1) Seeking approval as a nominee:

- a. Venue Operators Licence (Club or Hotel)
- b. Bingo Centre Operator
- c. Commercial Raffle Organiser
- d. Bookmaker Corporate

Licensee/registration name:

Licensee/registration number:

2) Are you associated with any other licence/registration holder or hold a licence/registration yourself?

- YES NO

If **YES**, please provide licence/registration name/s and number/s:

3) This application is:

- a. Part of a new licensee/registration
- b. Part of a renewal licensee/registration
- c. New nominee for to an existing entity

How to apply

Send application via email to:

contact@vgccc.vic.gov.au

Privacy Policy Statement - The VGCCC is committed to responsible and fair handling of personal information consistent with the *Privacy and Data Protection Act 2014* and its obligations under the *Gambling Regulation Act 2003*.

Confidentiality Provisions - Information provided in your application must not be disclosed by the VGCCC or its staff to someone else, except for the purposes provided for under Division 6 of Part 10 of the Act. You may access these provisions at vgccc.vic.gov.au

Nominee Application

Directions for completion

The below documents must be obtained not more than three months before this application is lodged. You must ensure that all names noted are listed across all documents.

- Obtain your Historical Personal Name Extract from the Australian Securities and Investments Commission (ASIC) and/or an equivalent report from other jurisdiction/s. If you have never been a director or secretary of a company registered with ASIC, they will provide you with a letter confirming this.
- Obtain a National Police Certificate (NPC) if:
 - If you have lived in Australia during the last 10 years you are required to obtain an NPC from an authorised provider (refer to website) or
 - If you have lived outside of Australia for 12 months or more in the last 10 years you are required to obtain a police clearance from the country that you have lived in.
- A **copy** of both your tax return and Notice of Assessment from the Australian Tax Office for:
 - The past three financial years if a nominee of a Bookmaker Corporate.
 - The requirement does not apply to nominees of Bingo Centre Operators, Commercial Raffle Organisers or Venue Operator.

Note: Equivalent documentation is required from the relevant jurisdiction if the Australian Tax Office is not applicable.
- Credit Report and/or an equivalent report from the relevant overseas agency.
- Schedules A-L must be completed and attached.
- Attach two colour passport size photos and print your name on the back of the photos.

Nominee Application

Personal Particulars

IMPORTANT INFORMATION

You **must** ensure that **all** names noted in Q4(a) - (d) are advised to the providers of your National Police Certificate and Credit Report.

4) a. Personal details:

First name:

Middle name(s):

Surname:

Date of Birth (day/month/year):

Place of birth (country/state or region):

Arrival date in Australia (if applicable):

b. Preferred given name to appear on identity card:

c. Are you currently known or have you previously been known by another name(s), including any alias(es), Anglicised name(s), maiden name, married name(s) and name(s) changed via deed poll?

YES NO

If **YES**, provide additional details below:

Name changed from:

Name changed to:

Date of change (dd/mm/yyyy):

Name changed from:

Name changed to:

Date of change (dd/mm/yyyy):

d. Have you had any legal name changes?

YES NO

If **NO**, proceed to Q5. If **YES**, provide additional details below:

Name changed from:

Name changed to:

Date of change (dd/mm/yyyy):

Name changed from:

Name changed to:

Date of change (dd/mm/yyyy):

Have further details been provided on an attachment page?

YES NO

5) Current Residential Address:

6) Postal address (if same as Q5, write 'as above'):

7) Contact details:

Contact number:

Email address:

8) Photograph:

Attach one colour passport size photograph taken within the last three (3) months with this application. Please print your full name and date the back of the photo.

a. Date photograph taken (dd/mm/yyyy):

IMPORTANT INFORMATION

Family members named in response to Q9 to Q12 are not required to complete an associate form as part of your request for approval. However, where investigations by the VGCCC highlight issues of concern, the VGCCC may determine that a completed associate form is required from one or more nominated family members. In this circumstance, you will be notified of the requirement for the provision of completed associate form/s and advised regarding the completion and lodgement of the required form/s.

9) Marital Status:

- Married
 Single
 De Facto
 Separated
 Divorced
 Widowed

Spouse/De Facto:

Given names:

Surname:

Date of Birth (day/month/year):

10) Nominee's parents, including both natural parents and step-parents.

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

11) Nominee's brothers and sisters: List all, including half/step brothers and sisters.

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

12) Nominees children: List all, including step and adopted children

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Relationship to nominee:

Given names:

Surname:

Date of birth (dd/mm/yyyy):

Industry History

13) Other than in Victoria, have you ever applied for any racing or gambling industry licence, approval, authorisation or registration?

YES NO

If **NO**, proceed to Q14.

If **YES**, in respect of each application complete (a) below & (b) over and proceed to Q14.

a. If the application was granted/approved or is still pending, provide details below:

Type of licence, approval etc:

Licence No. (if known):

Name of Racing/Gaming Regulator (if known):

Name shown on licence, approval etc:

Licence/approval association date (mm/yyyy):

 to

b. If the application was refused or withdrawn, provide details below:

Type of licence, approval etc sought:

Date of application (mm/yyyy):

Name of Racing/Gambling Regulator (if known):

Reason for refusal or withdrawal:

Have further details been provided on an attachment page?

YES NO

14) With respect to Q13(a), other than in Victoria have you ever had a licence, approval, authorisation or registration suspended, cancelled, amended or revoked, or been the subject of disciplinary action by a racing or gambling regulatory body? (**Note:** Include details of any special conditions or restrictions imposed on a licence, approval, authorisation or registration)

YES NO

If **NO**, proceed to Q15.

If **YES**, provide the following details:

Type of licence, approval etc:

Licence No. (if known):

Name of Gaming Regulator (if known):

Name shown on licence, approval etc:

Type of action taken and reason:

Have further details been provided on an attachment page?

YES NO

15) Have you ever been employed by the Victorian Gambling and Casino Control Commission or its predecessors?

YES NO

If **NO**, proceed to Q16. If **YES**, provide the following details:

Position held:

Date finished (dd/mm/yyyy):

16) Have you ever been excluded from a casino, racecourse, gaming venue or online wagering provider?

YES NO

If **NO**, proceed to Q17. If **YES**, provide details on an attachment page.

Number of occurrences:

Have further details been provided on an attachment page?

YES NO

Other Regulatory History

17) a. Outside Victoria, have you ever had any other gambling licence, approval, authorisation, permit or registration, suspended, cancelled, amended or revoked, or been the subject of disciplinary action by a regulatory body?

YES NO

Number of occurrences:

(**Note:** Exclude your drivers licence and motor vehicle registration and include details of any special conditions or restrictions imposed on a licence, approval etc)

Type of licence, approval etc:

Licence No. (if known):

Name of regulator (if known):

Date action taken (dd/mm/yyyy):

Type of action taken and reason:

b. Please list your breach and enforcement history with other gambling regulators other than the VGCCC over the last five years.

Have further details been provided on an attachment page?

YES NO

Legal action

18) During the last 10 years, have you been personally named as either a defendant or a respondent in any legal action?

(**Note:** Include any legal action of a private nature as well as any instances where you have been personally named as either a defendant or a respondent in your capacity as a director, secretary or committee member of a company or an incorporated association, as a partner in a partnership, or as a sole trader)

YES NO

Number of occurrences:

If **NO**, proceed to Q19 (**Note**: Failure to disclose relevant legal action will delay determination of any new venue operator's licence connected to this application).

If **YES**, provide the following details for each action:

Number of occurrences:

Name of legal action:

Plaintiff:

Jurisdiction*:

Result/Settlement (indicate here is not finalised):

Court or tribunal where matter was heard or is being heard:

Case no. issued by court/tribunal (

Date of delivery of judgement (if known) (dd/mm/yyyy):

If a matter has been finalised you **must** provide a **copy** of independent documentation confirming the court outcome or, if settled out of court, a **copy** of the settlement agreement, regardless of whether any terms of the agreement are confidential.

Copy of the court outcome or settlement agreement attached?

 YES NO

Have further details been provided on an attachment page?

 YES NO

19) During the last 10 years, has any legal action been taken against a company, incorporated association or partnership of which you were at the time a director, secretary or committee member or partner?

 YES NO

If **NO**, proceed to Q20 Note: Failure to disclose relevant legal action will delay determination of any new venue operator's licence connected to this application).

If **YES**, provide the following details for each action:

* "Jurisdiction" means the State or Territory and, if outside Australia, the country and locality

Nature of legal action:

Briefly describe how your responsibilities or actions related to this matter:

Plaintiff:

Jurisdiction*:

Result/Settlement (indicate here is not finalised):

Court or tribunal where matter was heard or is being heard:

Case no. issued by court/tribunal (if known):

Date of delivery of judgement (if known) (dd/mm/yyyy):

If a matter has been finalised you **must** provide a **copy** of independent documentation confirming the court outcome or, if settled out of court, a **copy** of the settlement agreement, regardless of whether any terms of the agreement are confidential.

Copy of the court outcome or settlement agreement attached?

 YES NO

Have further details been provided on an attachment page?

 YES NO

Charges, Findings of Guilt and Convictions

IMPORTANT INFORMATION

If you currently live in Australia or if you have lived in Australia during the last 10 years this form **must** be accompanied by your National Police Certificate (NPC) from the Victoria Police (refer to instructions on website). The NPC you provide must not be more than 3 months before this form is lodged. You **must** advise details at Q21 of any offence **not** included in your NPC, including participation in a Diversion Program, spent convictions, findings of guilt, suspended sentences, matters where a good behaviour bond is given and/or matters where no conviction is recorded, other court orders and provide details of all outstanding charges. Failure to disclose such matters may affect your application.

However, Children's Court matters more than 10 years old and non-custodial traffic matters, which are those for which a penalty other than a jail sentence or community based order was given, are **not** matters which you are required to disclose at Q21.

In addition, if you currently live outside Australia or you have lived outside Australia for more than 12 months during the last 10 years you are requested to seek an equivalent report from the relevant Police Agency in that jurisdiction*. An equivalent report, which must be an original document or a certified copy of the original document, would be expected to reveal details in relation to any convictions, findings of guilt (either with or without conviction) and any matters still outstanding against you.

20) a. Do you currently live in Australia or have you lived in Australia for at least 12 months during the last 10 years?

YES NO

If **YES** to Q20(a), an NPC must be provided.

b. Do you currently live outside Australia or have you lived outside Australia for more than 12 months during the last 10 years?

YES NO

If **YES** to Q20(b), you are requested to seek an equivalent report from the relevant Police Agency which is an original document or a certified copy of the original document. If an equivalent report is not enclosed, provide an explanation on an attachment page.

Have further details been provided on an attachment page?

YES NO

21) a. Have you ever been charged with, or found guilty of, a criminal offence, or been investigated by a law enforcement agency for an alleged offence against you, that is not recorded on your attached Police documentation?

YES NO

If **YES**, number of occurrences:

b. Have you ever participated in a Diversion Program* or any like proceedings?

YES NO

If **YES**, number of occurrences:

If **NO** to Q21(a) & (b), proceed to Q22. If **YES** to either Q21(a) or (b), provide details below in relation to each matter:

Nature of Offence/Charge:

Date (dd/mm/yyyy):

Jurisdiction**:

Result of Hearing or other Disposition (if known)

Have further details been provided on an attachment page?

YES NO

*A "Diversion Program" is a matter which is diverted from the court system and is resolved without a conviction or police record.

** "Jurisdiction" means the State or Territory and, if outside Australia, the country and locality

Business Interests

22) a. Is your Historical Personal Name Extract from ASIC enclosed?

YES NO

I hereby certify that I am not now and have never been a director or secretary of a company registered with ASIC.

YES

I have attached a letter from ASIC confirming I am not on the ASIC database.

YES

b. Have you ever been a director or company secretary of a company registered in a jurisdiction* outside Australia?

YES NO

If **NO**, proceed to Q23. If **YES**, is an equivalent report from the regulatory authority in each of those jurisdictions attached?

YES NO

If **NO**, provide reasons why an equivalent report has not been enclosed on an attachment page. Have further details been provided on an attachment page?

YES NO

IMPORTANT INFORMATION

Q23 relates to any business interests, directorships or partnerships (either within or outside Australia), not disclosed in your ASIC Extract or any equivalent report. When completing Q23 you must disclose details of:

- **all** directorships (either within or outside Australia), including positions of director, secretary etc, you hold or have resigned from (whether or not the company is trading) during the last 3 years; and
- **all** business interests or partnerships you have been involved in (either within or outside Australia), including provision of finance of A\$50,000 or more in relation to any business dealing, during the last 3 years.

23) List below any business interests, directorships or partnerships (either within or outside Australia), not disclosed in your Extract from ASIC or any equivalent report:

Have further details been provided on an attachment page?

YES NO

24) Have you ever been disqualified from acting as a director or company secretary of a company or are any like proceedings pending?

YES NO

* "Jurisdiction" means the State or Territory and, if outside Australia, the country and locality

If **NO**, proceed to Q25. If **YES**, complete the following:

Date of disqualification (dd/mm/yyyy):

Jurisdiction**:

Reason for disqualification/proceedings:

Have further details been provided on an attachment page?

YES NO

Financial Particulars

25) Have you provided a personal guarantee which is still current to any person or corporation for any debt or loan facilities for \$50,000 or more?

YES NO

If **NO**, proceed to Q26. If **YES**, is any person, including any corporation, in respect of whom you have given a guarantee for \$50,000 or more, in default of any agreements with respect to payment of a debt or loan?

YES NO

If **YES**, provide details on an attachment page.

Have further details been provided on an attachment page?

YES NO

IMPORTANT INFORMATION

You must obtain a Credit Report if:

- you currently live in Australia
- you do not currently live in Australia but have lived in Australia at any time during the last 10 years
- you are or have been during the last 10 years a director of officeholder of an Australian company.

If your circumstances do not meet the criteria noted above, a Credit Report is not required.

Note: If you live or have lived outside Australia during the last 10 years, you are requested to submit an equivalent report from the relevant agency in that jurisdiction*. You must ensure that all names noted in Q4(a)-(d) are advised when applying for your Credit Report. If any such names are not shown in your Credit Report, your application will not be accepted.

Instructions on obtaining your Credit Report are provided on the website.

26) Have you enclosed your Credit Report and/or an equivalent report from a relevant overseas jurisdiction*?

YES NO

If **YES**, proceed to Q27. If **NO**, provide reasons why a Credit Report and/or an equivalent report from a relevant overseas jurisdiction has not been enclosed on an attachment page.

Have further details been provided on an attachment page?

YES NO

27) Is the applicant in default of any debt repayment or loan (including less than \$5,000).

YES NO

If **NO**, proceed to Q28. If **YES**, complete the following:

Financial Institution or creditor:

Number of occurrences:

Total amount owing: \$ Total amount in default: \$

Number of days payment is overdue:

Financial Institution or creditor:

Total amount owing: \$ Total amount in default: \$

Number of days payment is overdue:

Financial Institution or creditor:

Total amount owing: \$ Total amount in default: \$

Number of days payment is overdue:

Have further details been provided on an attachment page?

YES NO

IMPORTANT INFORMATION

You **must** ensure that the following documents are submitted with your application:

- A **copy** of both your tax return and Notice of Assessment from the Australian Tax Office for the past three financial years if a nominee of a Bookmaker Corporate.
- Schedules A to L (which are provided as part of this application) for all nominee applications.

Have you attached a **copy** of both your tax return and your Notice of Assessment from the Australian Tax office for the required number of years?

YES

28) a. Have you ever been declared bankrupt or been the subject of any insolvency arrangements, proceedings or related orders, in any jurisdiction*?

YES NO

If **NO**, proceed to Q28(b). If **YES**, complete the following and provide details of circumstances leading to bankruptcy/arrangement proceedings on an attachment page:

Number of occurrences:

Date of bankruptcy/arrangement (dd/mm/yyyy):

Date of discharge/completion (proposed date):

Note: If you are a discharged bankrupt, a **copy** of your Certificate of Discharge From Bankruptcy **must** accompany this application (do not send the original certificate).

Is a copy enclosed?

YES N/A

Name of Trustee:

Address:

Phone number:

*"Jurisdiction" means the State or Territory and, if outside Australia, the country and locality

b. Are bankruptcy or any like proceedings pending?

YES NO

If **NO**, proceed to Q29. If **YES**, provide details of circumstances leading to these proceedings below:

[Empty text box for details of proceedings]

Have further details been provided on an attachment page?

YES NO

c. Is the applicant the guarantor for someone else's debt or loan?

YES NO

If **YES**, number of occurrences:

[Empty text box for number of occurrences]

29) Are you currently or have you been a director or officer of a company or incorporated association:

a. which has been wound up, placed into liquidation (except members' voluntary liquidation), had a receiver, controller, administrator or agent for the mortgagee appointed, entered into a formal or informal scheme of arrangement (however it is described) or has been subject to any like proceedings?

YES NO

If **YES**, number of occurrences:

[Empty text box for number of occurrences]

b. where an investigation into the affairs of the company/ incorporated association has been authorised by the Australian Securities and Investments Commission or its predecessors under Australian Corporations Law, by the Registrar of Incorporated Associations under the *Associations Incorporation Reform Act 2012*, by the Australian Prudential Regulation Authority, by the Australian Competition & Consumer Commission exercising their statutory functions or by any other regulatory body or law enforcement agency?

YES NO

If **NO** to Q29(a) and (b), proceed to Q30. If **YES** to Q29(a) or (b), complete the following for each matter.

If **YES**, number of occurrences:

[Empty text box for number of occurrences]

Company/incorporated association name:

[Empty text box for company name]

Commencement date (dd/mm/yyyy):

[Empty text box for commencement date]

Type of proceedings:

[Empty text box for type of proceedings]

Circumstances leading to proceedings:

[Empty text box for circumstances leading to proceedings]

Details of administrator, liquidator, receiver, controller, regulatory body or law enforcement agency:

Name:

[Empty text box for name]

Phone number:

[Empty text box for phone number]

Have further details been provided on an attachment page?

YES NO

30) Do you have any other associations within the gambling industry?

YES NO

If **YES**, please provide:

Type of association:

[Empty text box for type of association]

Entity associated with:

[Empty text box for entity associated with]

State or country of operations:

[Empty text box for state or country of operations]

I have read and understood the Directions under Section 10.4A.4 to Nominees And Associates Of Gambling Industry Participants.

YES

I have not had my employment terminated for misconduct in the last 10 years.

YES

31) Do you have any business or personal relationships with known criminals?

YES NO

If **YES**, provide details:

[Empty text box for details of relationships with known criminals]

Declaration

I hereby:

- acknowledge that I have read and understood the questions in this application form and the directions for answering them
- confirm that I have answered the questions truthfully and completely to the best of my knowledge
- consent to all information relating to me, in or pursuant to this application form, whether provided verbally or in writing, being made available to the applicant for a licence/registration in the event that the information and material provided by me may raise matters which the VGCCC considers should be provided or discussed with the applicant.

Signature of applicant:

Date (day/month/year):

Signature of witness*:

Date (day/month/year):

Print name of witness (*any adult can be a witness):

Relationship to person making the declaration:

Payment details

IMPORTANT INFORMATION

The prescribed fee must accompany this application. Please note that once an application has been registered, the application fee is non-refundable.

SCHEDULE A – Cash at financial institutions

List below all accounts, foreign and domestic, maintained by you solely or in conjunction with another person(s).

Name and address of financial institute	Name of person/s appearing on account	Account number	Date opened (dd/mm/yyyy)	Interest rate	Types of accounts	Balance at current date

SCHEDULE B – Accounts receivable

List below all accounts receivable held by you solely or in conjunction with another person(s). For those not solely held by you, indicate the percentage (%) you hold.

Name and address of debtor	Date incurred (dd/mm/yyyy)	Original amount	Unpaid balance	% held	Payment/ period	Interest rate	Maturity date (dd/mm/yyyy)	Purpose	Collateral

SCHEDULE C – Sources of income and other benefits

List below all sources of funds/financial benefits from any source (in excess of \$30,000 in any one year) for the past (3) financial years.

Description of funds/ financial benefits	Year(s) Received	Details of source/ Providers	Reason for provision/ purpose	Total Value AUD\$	% of total value received by the Associate	% of total value received by family members

SCHEDULE D – Shares, Fixed Interest Security (bonds)

List below the information requested for all shares and bonds held or controlled by you. Whenever interest exists through a trust/mutual fund or holding company, the shares held by such trust/mutual fund or holding company need not be listed. Indicate publicly traded shares and bonds by an asterisk.*

Issuer	Types	Number of Shares or units	Purchase price	Date of purchase (dd/mm/yyyy)	Name in which held	Market value

*Shares include US stocks



SCHEDULE E – Business investment

List below the information requested regarding any business investment in which any direct, indirect, vested, or contingent interest is held by you, along with the names of all persons or entities that share a direct, vested or contingent interest therein. This should include but not be limited to joint ventures, partnerships, sole proprietorships and corporations. For those not solely held by you, indicate the percentage (%) you hold.

Entity	Type of entity	No. of shares* or units	% held	Purchase price	Date of purchase (dd/mm/yyyy)	Name in which held	Persons or entities sharing interest and percentage ownership	Market value

*Shares include US stocks

SCHEDULE F– Real Estate

List below the information requested regarding any real property in which any direct, vested, or contingent interest is held by you, along with the name of persons or entities who share direct, indirect, vested or contingent interest therein. For those not solely held by you, indicate the percentage (%) you hold.

Address/location	Type	Size	Purchase price/ improvements at cost	% held	Date of purchase (dd/mm/yyyy)	Other owners	Income	Market value

SCHEDULE G – Other assets

List below the information requested for all other assets held by you, (i.e. automobiles, personal property, cash surrender value of life insurance policies, pension plans, etc.).

Type of asset	Purchase price	Date of purchase (dd/mm/yyyy)	Market value	Other information

SCHEDULE H – Unsecured loans

List below the information requested for all unsecured loans payable for which you are solely or partly obligated. If partly obligated, list percentage (%) of obligation.

Name and address of creditor	Date of incurred (dd/mm/yyyy)	Original amount	Unpaid balance	% of obligation	Payment/ period	Interest rate	Maturity date (dd/mm/yyyy)	Purpose	Collateral

SCHEDULE I – Secured loans

List below the information requested for all secured loans payable for which you are solely or partly obligated. If partly obligated, list percentage (%) of obligation.

Name and address of creditor	Date of incurred (dd/mm/yyyy)	Original amount	Unpaid balance	% of obligation	Payment/ period	Interest rate	Maturity date (dd/mm/yyyy)	Purpose	Collateral

SCHEDULE J – Other liabilities

List below the information requested for any other indebtedness for which you are solely or partly obligated. If partly obligated, list percentage (%) of obligation.

Name and address of creditor	Date of incurred (dd/mm/yyyy)	Original amount	Unpaid balance	% of obligation	Payment/ period	Interest rate	Maturity date (dd/mm/yyyy)	Purpose	Description of liability	Collateral

SCHEDULE K – Contingent liabilities

List below the information requested for all contingent liabilities for which you are solely or partly obligated. If partly obligated, list percentage (%) of obligation.

Name and address of creditor	Date of incurred (dd/mm/yyyy)	Original amount	Unpaid balance	% of obligation	Payment/ period	Interest rate	Maturity date (dd/mm/yyyy)	Purpose	Collateral	Persons liable in addition to you

SCHEDULE L – Directorships/Secretary roles

Entity Name	Role	Business Description

Approval as a nominee

Consent for release of information by Law Enforcement Agencies

Gambling Regulation Act 2003

In the matter of this application for approval as a nominee and for the purposes of ongoing monitoring by:

Name (Full name of applicant):

Address (Full address of applicant):

(‘Applicant’)

Consent

The applicant hereby consents to all probity investigations carried out by the Victorian Gambling and Casino Control Commission (the VGCCC) and its staff, including but not limited to:-

- a)** Inspection of criminal, intelligence or other records kept or maintained by:
- the Victoria Police;
 - any crime investigation agency;
 - any gaming regulatory body;
 - any Court;
 - any State, Territory, federal or overseas police force;
 - any corporate regulatory agency;
 - any casino regulatory body;
 - any government agency.
- (collectively referred to as ‘**law enforcement agencies**’)
- b)** Release of particulars of any convictions, findings of guilt or other information recorded against me by the law enforcement agencies including, without limitation:
- details of all prosecutions, including acquittals and matters withdrawn or dismissed and all findings of guilt, whether or not a conviction was recorded;
 - matters or charges still outstanding;
 - law enforcement agencies intelligence howsoever obtained;
 - any other matters recorded as arising either in Victoria or elsewhere by any law enforcement agency and considered relevant by the VGCCC to the investigation or assessment of my application for approval as a nominee under the *Gambling Regulation Act 2003*.

Release

Upon signing this consent, the corporation hereby releases the VGCCC, each law enforcement agency and their servants, agents or contractors to the full extent of the law and against any claim or demands of any kind and any actions, suits, proceedings, claims, demands, costs and expenses whatsoever which may be taken or made in respect of the use or misuse of the information obtained out of this consent, including particulars of any conviction, findings of guilt or other adverse material purporting to relate to the corporation.

Acknowledgment

I acknowledge having read and understood the terms of the consent and have noted that independent legal advice may be sought before signing this consent.

This consent commences on the date below and continues until the later of:-

- the VGCCC considers that I am no longer associated with the holder; or
- the expiry of the licence or registration (if granted).

A photocopy of this form will be considered as effective and as valid as the original.

Execution as a deed

Signed:

Signature of applicant

Date:

(Day/Month/Year)

Financial information release form

Gambling Regulation Act 2003

In the matter of this application for approval as a nominee and for the purposes of ongoing monitoring by:

Name (Full name of applicant):

Address (Full address of applicant):

(‘Applicant’)

I, the applicant hereby authorises all **persons** who receive a photocopy of this **financial information release form** from the Victorian Gambling and Casino Control Commission (the VGCCC) and its staff to undertake the **authorised actions** for the **authorised purposes** as set out below:

Authorised actions

- 1) To allow the VGCCC and its staff or any other person appointed in writing by the VGCCC, to inspect and obtain a copy of any document, record or correspondence in the possession or under the control of any person, which contains information pertaining to the applicant (or to the applicant and another person and to any subsidiary, related body corporate, trust or partnership to which the applicant was a party), including but not limited to:
 - any loan information;
 - any information relating to an account held with a financial institution (passbook, statement or other), including information relating to withdrawals, deposits, transfers and balances;
 - any information (including trust account information) of any solicitor, accountant, real estate agent or other person who has the management or care of business or financial matters on behalf of the applicant;
- 2) To answer written or verbal queries of and to provide information (by any means) to the VGCCC and its staff or any other person appointed in writing by the VGCCC to undertake the authorised actions, about the financial resources of the applicant.

Release

In consideration of a bank, other financial institution, solicitor, accountant, financial adviser or any other person or organisation who has lent money to or borrowed from the applicant providing any of those particulars recorded against the applicant as detailed above under the heading “Authorised actions”, **I hereby release** the VGCCC to the full extent of the law and against any claim or demands of any kind and any actions, suits, proceedings, claims, demands, costs and expenses whatsoever which may be taken or made in respect of the use or misuse of the information obtained out of this authorisation.

Authorised purposes

To enable the VGCCC to make an assessment of the applicant’s financial stability and that, in conducting on-going monitoring, an Applicant’s continuing financial stability. This consent commences on the date below and continues until the later of:

- the VGCCC considers that I am no longer associated with the holder; or
- the expiry of any licence or registration (if granted).

Signature of applicant:

Dated (dd/mm/yyyy)

Notes

1. A photocopy of this form will be considered as effective and as valid as the original.
2. A reference in this **financial information release form** to the VGCCC includes a reference to a member of its staff and any other person appointed in writing by the VGCCC.

Option 1: Nomination - venue operator's licence

Gambling Regulation Act 2003

Background

Under section 3.4.14 of the *Gambling Regulation Act 2003* (the Act), if a venue operator's licence is granted to a body corporate or the managing committee of a club, the body corporate/managing committee must nominate, in respect of **each approved venue**, a natural person to be approved by the Victorian Gambling and Casino Control Commission (the VGCCC) to be responsible as licensee on behalf of the body corporate/managing committee. A person nominated and approved as a nominee is liable under the Act as licensee in respect of that approved venue.

Please note that, where a body corporate or the managing committee of a club fails to nominate a natural person to be the nominee of an approved venue, the directors of the body corporate/members of the managing committee are severally liable under the Act as licensee until such time as a natural person is nominated and approved by the VGCCC.

Similarly, where the nominee has ceased to be responsible as licensee in respect of an approved venue, the body corporate/managing committee of a club must nominate a new nominee for approval by the VGCCC. If a nominee is dismissed or resigns **before** VGCCC approval is obtained for a new nominee, the directors of the body corporate/members of the managing committee are severally liable under the Act as licensee until such time as a new nominee is approved by the VGCCC.

When must a nomination under section 3.4.14 of the Act be completed?

The following are circumstances requiring the completion of the nomination under section 3.4.14 of the Act, with respect to each approved venue:

- when an application is made for a venue operator's licence, the grant of which is proposed to include an approved venue (i.e. simultaneous application for a venue operator's licence and application to include approved premises)
- when an application is made to amend a venue operator's licence to include approved premises
- when a new nominee is required to replace a former nominee (e.g. as a result of the resignation or dismissal of a nominee or if the previous nominee has ceased to be responsible as licensee in respect of an approved venue).

Nomination under section 3.4.14

Gambling Regulation Act 2003

(Name of venue operator)

authorises the nomination of

(Venue operator's licence number)

(Full name of Proposed Nominee)

to be responsible as licensee for

(Approved premises name)

Premises approval number. (if known)

Signature of authorised officer:

Dated (dd/mm/yyyy)

Signature of proposed nominee:

Dated (dd/mm/yyyy)

Print the full name of authorised officer on behalf of venue operator:

Note: In circumstances where there are no approved premises to be included on a venue operator's licence as yet, state N/A (not applicable) in response to 'Approved premises name' and 'Premises approval number'. A full summary of circumstances requiring the completion of a nomination under section 3.4.14 of the Act is contained in the accompanying information pages.

Approval as a nominee

Option 2: Nomination - Bingo Centre Operator's licence

Gambling Regulation Act 2003

Background

Under section 8.5.9 of the *Gambling Regulation Act 2003* (the Act), if a Bingo Centre Operator's Licence is granted to a body corporate, the body corporate must nominate a natural person to be approved by the Victorian Gambling and Casino Control Commission (the VGCCC) to be responsible as licensee on behalf of the body corporate. A person nominated and approved as a nominee is liable under the Act as licensee in respect of that approved bingo centre.

Please note that, where a body corporate fails to nominate a natural person to be the nominee of an approved bingo centre, the directors of the body corporate are severally liable under the Act as licensee until such time as a natural person is nominated and approved by the VGCCC.

Similarly, where the nominee has ceased to be responsible as licensee in respect of an approved bingo centre, the body corporate must nominate a new nominee for approval by the VGCCC. If a nominee is dismissed or resigns **before** VGCCC approval is obtained for a new nominee, the directors of the body corporate are severally liable under the Act as licensee until such time as a new nominee is approved by the VGCCC.

When must a nomination under section 8.5.9 of the Act be completed?

The following are circumstances requiring the completion of the nomination under section 3.4.14 of the Act, with respect to each approved venue:

- when an application is made for a Bingo Centre Operator's Licence; or
- when a new nominee is required to replace a former nominee (e.g. as a result of the resignation or dismissal of a nominee or if the previous nominee has ceased to be responsible as licensee in respect of an approved bingo centre).

Nomination under section 8.5.9

Gambling Regulation Act 2003

(Name of Bingo Centre Operator)

authorises the nomination of

(Bingo Centre Operator's licence number)

(Full name of Proposed Nominee)

to be responsible as licensee for

(Approved premises name)

Premises approval number. (if known)

Signature of applicant

Dated (dd/mm/yyyy)

Print the full name of the Authorised Officer on behalf of bingo centre operator (director/committee member/secretary of the bingo centre operator other than the proposed nominee)

Signature of Authorised Officer on behalf of the bingo centre operator

Dated (dd/mm/yyyy)

Approval as a nominee

Option 3: Nomination - Commercial Raffle Organiser's licence

Gambling Regulation Act 2003

Background

Under section 8.5.10 of the *Gambling Regulation Act 2003* (the Act), if a Commercial Raffle Organiser's licence is granted to a body corporate or the managing committee of a club, the body corporate/managing committee must nominate a natural person to be approved by the Victorian Gambling and Casino Control Commission to be responsible as licensee on behalf of the body corporate/ managing committee. A person nominated and approved as a nominee is liable under the Act as licensee.

Please note that, where a body corporate or the managing committee of a club fails to nominate a natural person to be the nominee, the directors of the body corporate/members of the managing committee are severally liable under the Act as licensee until such time as a natural person is nominated and approved by the VGCCC.

Similarly, where the nominee has ceased to be responsible as licensee, the body corporate/managing committee of a club must nominate a new nominee for approval by the VGCCC. If a nominee is dismissed or resigns **before** VGCCC approval is obtained for a new nominee, the directors of the body corporate/members of the managing committee are severally liable under the Act as licensee until such time as a new nominee is approved by the VGCCC.

When must a nomination under section 8.5.10 of the Act be completed?

The following are circumstances requiring the completion of the nomination under section 8.5.10 of the Act:

- when an application is made for a Commercial Raffle Organiser's licence; or
- when a new nominee is required to replace a former nominee (e.g. as a result of the resignation or dismissal of a nominee).

Nomination under section 8.5A.10

Gambling Regulation Act 2003

(Name of Bingo Commercial Raffle Organiser)

authorises the nomination of

(Commercial Raffle Organiser's licence number)

(Full Name of Proposed Nominee)

Signature of proposed nominee

Dated (dd/mm/yyyy)

Print the full name of the Authorised Officer on behalf of Commercial Raffle Organiser (director/committee member/secretary of the Commercial Raffle Organiser other than the proposed nominee)

Signature of Authorised Officer on behalf of the Commercial Raffle Organiser

Dated (dd/mm/yyyy)

Option 4: Nomination - Registered Bookmaker

Gambling Regulation Act 2003

Background

Under section 4.5.2 of the *Gambling Regulation Act 2003* (the Act), if an applicant for registration as a bookmaker is a corporation, the board of directors must nominate, a natural person to be approved by the Victorian Gambling and Casino Control Commission (the VGCCC) to be responsible as the registered bookmaker on behalf of the corporation. A person nominated and approved as a nominee is liable under the Act as a registered bookmaker in respect of the corporation.

Please note that the approval of a nominee does not limit the liability of the corporation as a registered bookmaker.

When must a nomination under section 4.5.2 of the Act be completed?

The following are circumstances requiring the completion of the nomination under section 4.5.2 of the Act, with respect to a registered bookmaker:

- when an application is made for the registration of a bookmaker which is a corporation; or
- when a new nominee is required to replace a former nominee (e.g. as a result of the resignation or dismissal of a nominee or if the previous nominee has ceased to be responsible as licensee in respect of a registered bookmaker).

Nomination under section 8.5A.10

Gambling Regulation Act 2003

(Name of registered bookmaker)

authorises the nomination of

(Bookmaker's registration number)

(Full Name of Proposed Nominee)

to be responsible as the registered bookmaker in respect of the corporation.

Signature of proposed nominee

Dated (dd/mm/yyyy)

Print the full name of Authorised Officer on behalf of registered bookmaker (director/secretary of the registered bookmaker other than the proposed nominee)

Signature of Authorised Officer on behalf of the registered bookmaker:

Dated (dd/mm/yyyy)

